

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: T. Tsujimura et al.

Docket No.: JP920000098US1

Serial No.: 09/682,002

Group Art Unit: 2871

Filed: July 6, 2001

Examiner:

For: ACTIVE MATRIX SUBSTRATE AND MANUFACTURING METHOD THEREOF

Assistant Commissioner for Patents  
Washington, D.C. 20231**TRANSMITTAL LETTER**

Sir:

Being filed herewith are copies of the following:

## 1) Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted, dated 7/28/2001, Applicants enclose herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63. The \$130.00 fee to cover the surcharge under 37 C.F.R. §1.16(e) is hereby authorized to be charged to Deposit Account No. 09-0468. A copy of the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted is further enclosed.

## 2) Deposit Account Authorization Form

## 3) Declaration and Power of Attorney

## 4) Recordation Form Cover Sheet

## 5) Assignment to IBM

## 6) Submission of Priority Document

7) Certified Japanese Priority Application No. 2000-208593

## 8) Information Disclosure Statement Transmittal Letter

## 9) PTO 1449 Form with 1 reference

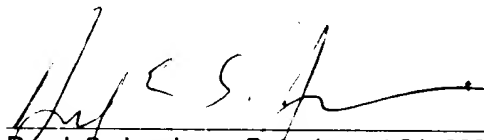
## 10) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Derek S. Jennings', is written over a horizontal line.

Derek S. Jennings - Date August 21, 2001  
Reg. Patent Agent/Engineer  
Reg. No. 41,473  
Tel. No. (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: T. Tsujimura et al.

Date: August 21, 2001

Serial No.: 09/682,002

Group Art Unit: 2871

Filed: July 6, 2001

Examiner:

For: ACTIVE MATRIX SUBSTRATE AND MANUFACTURING THEREOF

Assistant Commissioner for Patents  
Washington, DC 20231

DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated July 30, 2001 this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No.: 41,473  
Tel. No.: (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598

Docket No.: JP920000098US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of: T. Tsujimura et al.

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Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application ~  
Transmittal Letter ~  
Deposit Account Authorization Form ~  
Declaration and Power of Attorney ~  
Recordation Form Cover Sheet  
Assignment to IBM  
Japanese Priority Document No. 2000-208593  
Information Disclosure Transmittal Letter ~  
PTO-1449 Form with 1 reference attached ~  
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on August 21, 2001

Le Tricia M. Van Buren  
(Type or printed name of person mailing paper or fee)

[Signature]  
(Signature of person mailing paper or fee)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20523  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/682,002	07/06/2001	Takatoshi Tsujimura	JP920000098

00877

IBM CORPORATION, T.J. WATSON RESEARCH CENTER  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

CONFIRMATION NO. 8770

## FORMALITIES LETTER



\*OC000000006357963\*

Date Mailed 07/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

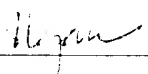
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE